



Name of meeting: Corporate Governance & Audit Committee

Date: 10 February 2023

Title of report: IT Audit Controls Update

Purpose of report: To provide an update on IT audit controls

Key Decision - Is it likely to result in spending or saving £250k or more, or to have a significant effect on two or more electoral wards? Decisions having a particularly significant effect on a single ward may also be treated as if they were key decisions.	No
Key Decision - Is it in the <u>Council's Forward Plan (key decisions and private reports)?</u>	Key Decision – No Private Appendix – Yes
The Decision - Is it eligible for call in by Scrutiny?	Not Applicable If no give the reason why not
Date signed off by <u>Strategic Director</u> & name	Rachel Spencer Henshall
Is it also signed off by the Service Director for Finance?	Eamonn Croston
Is it also signed off by the Service Director for Legal Governance and Commissioning?	Julie Muscroft
Cabinet member portfolio	Cllr Paul Davies, Cabinet Member Corporate

Electoral wards affected: All

Ward councillors consulted: None

Public or private: Public with private appendix.

The appendix to this report is recommended for consideration in private because the information contained in it is exempt information within part 1 of Schedule 12A of the Local Government Act 1972 namely that the report contains information relating to

the financial or business affairs of any particular person (including the authority holding that information). The public interest in maintaining the exemption outweighs the public interest in disclosing the information and providing greater openness in the Council's decision making.

Has GDPR been considered?

Yes

1. Summary

- 1.1 The Corporate Governance and Audit Committee on 2nd December 2022, considered a report on IT controls submitted as a part of their 2021/22 audit review, by Grant Thornton, the external auditor.
- 1.2 The committee asked that the Head of Technology be invited to speak with them, to answer questions related to the matter raised by the auditor.
- 1.3 As a part of this work, internal audit has reviewed arrangements, and found that with the exception of one minor issue, strong progress has been made.
- 1.4 The internal audit report on this matter is attached as a private appendix.

2 Information required to take a decision

Not applicable

3 Implications for the Council

3.1 Working with People

None directly

3.2 Working with Partners

None directly

3.3 Place Based Working

None directly

3.4 Climate Change and Air Quality

None directly

3.5 Improving outcomes for children

None directly

3.6 Financial Implications for the people living or working in Kirklees

None directly

3.7 Other (eg Integrated Impact Assessment (IIA)/Legal/Financial or Human Resources) Consultees and their opinions

Although each of the sub categorisations above suggest no direct implications, the work of internal audit covers all aspects of the Council's operations, including elements of the above, either specifically, indirectly or on a commissioned basis.

4 Consultation

Audit, IT and Grant Thornton, the external auditor.

5 Engagement

Not applicable.

6 Next steps and timelines

To consider if the committee is satisfied following discussion with the Head of Technology.

7 Officer recommendations and reasons

Members are asked to note the Internal Audit report and to determine if following the discussion with the Head of Technology they require any additional activity.

8 Cabinet Portfolio Holder's recommendations

Not applicable.

9 Contact officer

Terence Hudson, Head of Technology (01484 221000)
Martin Dearnley, Head of Risk & Internal Audit (01484 221000)

10 Background Papers and History of Decisions

Report of External Auditor Grant Thornton 2/12/22

11 Service Director responsible

Andy Simcox, Service Director for Strategy and Innovation